

27724

PATENT  
ATTORNEY DOCKET NO.: 041465-5037

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Kaoru YAMAMOTO et al.

Application No.: 08/821,424

Filed: March 21, 1997

For: INFORMATION RECORD MEDIUM,  
APPARATUS FOR RECORDING THE  
SAME AND APPARATUS FOR  
REPRODUCING THE SAME

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) Group Art Unit: 2712  
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) Examiner: Chevalier R.  
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Assistant Commissioner for Patents  
Washington, D.C. 20231

**AMENDMENT TRANSMITTAL FORM**

1. Transmitted herewith is an Amendment responding to the Office Action dated May 21, 1999.
2. Additional papers enclosed:
  - ☐ Drawings: ☐ Formal ☐ Informal (Corrections)
  - ☐ Information Disclosure Statement
  - ☐ Form UNITED STATES PATENT AND TRADEMARK OFFICE-1449, \_\_\_ references included
  - ☐ Citations
  - ☐ Declaration of Biological Deposit
  - ☐ Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.
3. **EXTENSION OF TIME**  
The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136(a) apply.

☐ Applicants believe that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicants have inadvertently overlooked the need for a petition and fee for extension of time.

☒ Applicants petition for an extension of time, the fees for which are set out in 37 C.F.R. 1.17(a)-(d), for the total number of months checked below:

Total Months Requested      Fee for Extension      [fee for Small Entity]

<input type="checkbox"/> one month	\$ 110.00	\$ 55.00
<input type="checkbox"/> two months	\$ 380.00	\$ 190.00
<input checked="" type="checkbox"/> three months	\$ 870.00	\$ 435.00
<input type="checkbox"/> four months	\$1,360.00	\$ 680.00

☒ Extension of time fee due with this request: \$870.00

☐ If an extension of time is required, please consider this a Petition therefor.

☐ An extension for \_\_ months has already been secured and the fee paid therefor of \$ \_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

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#### 4. Fee Calculation

CLAIMS AS AMENDED						
	Claims Remaining After Amendment		Highest No. Previously Paid	Present Extra	at Rate of	Total Fees
Total Claims	19	minus	20	0	_ x \$18.00 each =	+ \$ 0.00
Independent Claims	4	minus	3	1	1 x \$78.00 each =	+ \$ 78.00
<input type="checkbox"/> First presentation of Multiple dependent claim(s)					\$ 260.00	+ \$ 0.00
SUB-TOTAL =						\$ 78.00
Reduction by ½ for filing by a small entity						- \$ 0.00
TOTAL FEE =						\$ 78.00

5. Fee Payment

☐ No fee is to be paid at this time.

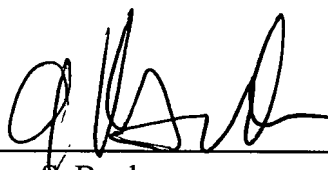
☒ A check in the amount of \$948.00 representing fee for one additional independent claim and a three month extension of time. The Commissioner is hereby authorized to charge any additional extension of time fee or additional fee for claims due to Deposit Account No. 50-0310.

☒ The Commissioner is hereby authorized to charge any fees including fees due under 37 C.F.R. § 1.16 and § 1.17 which may be required, or credit any overpayment to Deposit Account 50-0310.

Respectfully submitted,

**MORGAN, LEWIS & BOCKIUS LLP**

By: \_\_\_\_\_

  
George S. Bardmesser

Reg. No. 44,020

Dated: November 17, 1999

**MORGAN, LEWIS & BOCKIUS LLP**

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